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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Charles Tresser, et al.

**Docket:** YOR920000598US1(13893)

Serial No.: Unassigned

Dated: December 11, 2000

Filed: Herewith

For: SECURE ELECTRONIC STOCKS AND OTHER TITLES AND INSTRUMENTS

Assistant Commissioner for Patents Washington, DC 20231



## **INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

- 1. U.S. Patent No. 5,893,907, issued April 13, 1999 to Ukuda;
- 2. U.S. Patent No. 5,710,834, issued January 20, 1998 to Rhoads;

## **CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

"Express Mail" Mailing Label Number: EL748591181US Date of Deposit: December 11, 2000

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. '1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Dated: December 11, 2000

Mishelle Mustafa

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- 3. U.S. Patent No. 5,636,292, issued June 3, 1997 to Rhoads;
- 4. Menezes. A., et al., "Handbook of APPLIED CRYPTOGRAPHY", CRC Press, Chapter 8; pp. 25-32, 283-319; and
- 5. Smith, S., et al., "Using a High-Performance, Programmable Secure Coprocessor", <u>IBM T.J. Watson Research Center, Yorktown Heights, New York</u>, pp. 73-89.

Applicants are submitting copies of the above-cited references.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. §1.97(b), no petition, certification or fee is required.

Respectfully submitted,

John S. Sensny Registration No. 28,757

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